

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Stan Nowak  
Dorota Helena Kieronska  
Vin C. Morley

**COPY**

Serial No.: 10/511,861

Filing Date: October 15, 2004

Title: Fluid Cooled Brake Housing

Attorney Docket No.: ASEFF.0101

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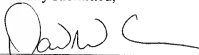
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PO Box 1450  
Alexandria, VA 22313-1450

**SECOND REQUEST FOR ENTITY STATUS CHANGE  
AND REQUEST FOR REFUND**

This application was filed with the applicants listed as large entity in good faith. A "Notification of Large Entity Status by Error and Request for Refund" was filed on February 8, 2005, which has not been acknowledged. Therefore, we state again that it has been discovered that such status as a large entity was established in error, and the applicant is a small entity. Therefore, in accordance with 37 C.F.R. §1.28, Applicant requests that the large-entity status on this patent application be withdrawn and changed to small-entity, and that the excess fees in the amount of \$1,465.00 be refunded to Deposit Account No. 50-0392.

Date: October 17, 2007

Respectfully submitted,



David W. Carstens  
Registration No. 34,134  
Attorney for Applicants

CARSTENS & CAHOON, LLP  
PO Box 802344  
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**Acknowledgement Receipt**

The USPTO has received your submission at **18:42:49** Eastern Time on **12-OCT-2007**.

No fees have been paid for this submission. Please remember to pay any required fees on time to prevent abandonment of your application.

**eFiled Application Information**

|   |   |
|---|---|
| EFS ID                                    | 2316603   |
| Application Number                        | 10511861  |
| Confirmation Number                       | 6378  |
| Title                                     | Fluid cooled brake housing                                  |
| First Named Inventor                      | Stan Nowak  |
| Customer Number or Correspondence Address | Carsten & Cahoon<br>P O Box 802334<br>Dallas<br>TX 75380 US |
| Filed By                                  | David William Carstens/Joann Young                          |
| Attorney Docket Number                    | ASEFF.0101  |
| Filing Date                               | 08-FEB-2005   |
| Receipt Date                              | 12-OCT-2007   |
| Application Type                          | U.S. National Stage under 35 USC 371                        |

**COPY****Application Details**

| Submitted Files                      | Page Count | Document Description | File Size   | Warnings |
|--------------------------------------|------------|----------------------|-------------|----------|
| ASEFF0101_EntityStatus_ReqRefund.pdf | 1          | Refund Request       | 35287 bytes | ◆ PASS   |

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EQ/903 Indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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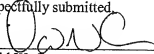
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**NOTIFICATION OF LARGE ENTITY STATUS BY ERROR  
AND REQUEST FOR REFUND**

This application was filed with the applicant listed as large entity in good faith. It has now been discovered that such status as a large entity was established in error, and the applicant is a small entity. Therefore, in accordance with 37 C.F.R. §1.28, Applicant requests that the large-entity status on this patent application be withdrawn and changed to small entity, and that half the application fee paid (\$790) be refunded to Deposit Account No. 50-0392.

Respectfully submitted,

  
\_\_\_\_\_  
David W. Carstens  
Registration No. 34,134  
Attorney for Applicant

Date: February 8, 2005  
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February 8, 2005  
David W. Carstens

Inventors: Stan Nowak, et al.  
Title: Fluid Cooled Brake Housing  
File: ASEFF.0101

On the date stamped hereon, the U.S. Patent and Trademark Office received the following:

1. Transmittal in duplicate (2 pgs);
2. Executed Declaration and Power of Attorney (3 pgs);
3. Executed Assignment with Form PTO -1595 (4 pgs);
4. Notification of Large Entity Status by Error and Request for Refund (1 pg);
5. Information Disclosure Statement with PTO/SB/08A, Int'l Search Report and cited references B1 to B4 (50 pgs);
6. A check in the amount of \$40 (1 pg); and
7. Postcard Acknowledgement (1 pg).

Total: 63 Pages

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| C/M# ASEFF.0101  | Logged Date: January 22, 2008 By: Joann Young |

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